



Millennial-scale vegetation changes in the tropical Andes using ecological grouping and ordination methods

Dunia H. Urrego¹, Henry Hooghiemstra², Oscar Rama-Corredor³, Belen Martrat³, Joan O. Grimalt³, Lonnie Thompson⁴, Mark B. Bush⁵, Zaire González-Carranza², Jennifer Hanselman⁶, Bryan Valencia⁵, and César Velásquez-Ruiz⁷

¹Geography, College of Life and Environmental Sciences, University of Exeter, Exeter, UK

²Institute for Biodiversity and Ecosystem Dynamics, University of Amsterdam, Amsterdam, the Netherlands

³Department of Environmental Chemistry, IDAEA-CSIC, Barcelona, Spain

⁴School of Earth Sciences and Byrd Polar and Climate Research Center, The Ohio State University, Columbus, Ohio, USA

⁵Department of Biological Sciences, Florida Institute of Technology, Melbourne, Florida, USA

⁶Department of Biology, Westfield State University, Westfield, Massachusetts, USA

⁷Bio-Science School, Universidad Nacional de Colombia, Sede Medellín, Colombia

Correspondence to: Dunia H. Urrego (d.urrego@exeter.ac.uk)

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Abstract. We compare eight pollen records reflecting climatic and environmental change from northern and southern sites in the tropical Andes. Our analysis focuses on the last 30 000 years, with particular emphasis on the Pleistocene to Holocene transition. We explore ecological grouping and downcore ordination results as two approaches for extracting environmental variability from pollen records. We also use the records of aquatic and shoreline vegetation as markers for lake level fluctuations and moisture availability. Our analysis focuses on the signature of millennial-scale climate variability in the tropical Andes, in particular Heinrich stadials (HS) and Greenland interstadials (GI).

The pollen records show an overall warming trend during the Pleistocene–Holocene transition, but the onset of post-glacial warming differs in timing among records. We identify rapid responses of the tropical vegetation to millennial-scale climate variability. The signatures of HS and the Younger Dryas are generally recorded as downslope upper forest line (UFL) migrations in our transect, and are likely linked to air temperature cooling. The GI1 signal is overall comparable between northern and southern records and indicates upslope UFL migrations and warming in the tropical Andes. Our marker for lake level changes indicated a north-to-south difference that could be related to moisture availability.

The air temperature signature recorded by the Andean vegetation was consistent with millennial-scale cryosphere and sea surface temperature changes but suggests a potential difference between the magnitude of temperature change in the ocean and the atmosphere. We also show that arboreal pollen percentage (AP %) and detrended correspondence analysis (DCA) scores are two complementary approaches to extract environmental variability from pollen records.

1 Introduction

The signature of millennial-scale climate variations is recorded in ice cores and in marine and terrestrial sediment archives both in the Northern and Southern Hemisphere (NGRIP Members, 2004; EPICA, 2006; Baker et al., 2001; Harrison and Sanchez Goñi, 2010; Hessler et al., 2010). The clearest manifestations of millennial-scale climate events are observed in Greenland ice core records (Wolff et al., 2010) and North Atlantic marine sequences (Sánchez Goñi and Harrison, 2010). The Greenland interstadials (GI) are characterized by rapid warming in ice core records and can last up to 2500 years (Wolff et al., 2010). Heinrich events (HE) (Heinrich, 1988) are a second type of millennial-scale climate event, and are marked by an abrupt increase in the pro-

portion of ice-rafted debris (IRD) from iceberg discharges in the Ruddiman Belt (Ruddiman, 2001). These iceberg discharges deliver fresh water into the North Atlantic and disrupt the Atlantic Meridional Overturning Circulation (Hemming, 2004), resulting in climate changes of global scale. The intervals associated with North Atlantic iceberg discharges are termed Heinrich Stadials (HS) (Sánchez Goñi and Harrison, 2010) and have been linked to temperature and precipitation changes in other regions of the world.

The signature of millennial-scale climate variability in the American tropics has mostly been linked to precipitation change, specifically to southward migrations of the Intertropical Convergence Zone (ITCZ) and variations in the strength of monsoonal systems during HS. Model simulations and climate reconstructions suggest that HS result in a southward shift of the thermal equator and the ITCZ (Broccoli et al., 2006) linked to decreased sea surface temperature (SST) in the North Atlantic and increased SST in the South Atlantic. Such an atmospheric and oceanic configuration is associated with a weakened North American monsoon (Lachniet et al., 2013) and reduced precipitation in central (Escobar et al., 2012) and northern South America (Peterson et al., 2000). The precipitation signature of HS is also described as wet episodes in the Bolivian Altiplano (Baker et al., 2001; Fritz et al., 2010) and as enhanced South American summer monsoon (SASM) activity in southeastern Brazil (Cruz et al., 2005). In the Ecuadorian Amazon, precipitation change appears to be positively correlated with some HS (Mosblech et al., 2012).

GI have also been linked to precipitation changes in the American tropics. These include wet conditions during GI1 in Central America (Escobar et al., 2012) and decreased runoff in the Guyana Basin (Arz et al., 1998). Some GI appear to be associated with reduced lake levels in western Amazonia (Urrego et al., 2010) and decreased humidity in the Bolivian Altiplano (Baker et al., 2001). Weakening of the SASM and reduced precipitation are also associated with the onset of some GI in speleothem records from subtropical Brazil (Cruz et al., 2005). The precipitation signals of HS and GI indicate overall that climatic conditions in the American tropics were far from stable during these millennial-scale climate events.

Estimates of temperature change during HS and GI in the tropics differ in magnitude and are hindered by the number of available records. The magnitude of tropical Atlantic SST warming at the onset of GI1, for instance, is estimated to be less than 1 °C in the Tobago Basin (Rühlemann et al., 2003), 2 °C in the Colombian Basin (Schmidt et al., 2004), and 3.8 °C in the Guyana Basin (Rama-Corredor et al., 2015). The isotopic record from the Sajama ice core also indicates a large-magnitude change during GI1 that has been linked to precipitation but could also be associated with air temperature warming (Thompson et al., 1998). In the Colombian Andes, the best-resolution vegetation-based reconstruction of air temperatures available to date suggests that the magnitude of warming associated with GI1 is as large as 9 °C (Groot et

al., 2011), more than twice the Guyana Basin SST estimate. The signature of HS in the same record suggests downslope forest migrations and large-magnitude temperature depressions (Bogotá et al., 2011). A regional synthesis suggests that the vegetation signature of HS and GI can be opposite between the northern and southern parts of the region influenced by the ITCZ (Hessler et al., 2010) and highlights the paucity of records. Overall, whether there is a consistent air and ocean temperature signature of millennial-scale climate events in the American tropics remains unclear.

The main objective of this paper is to assess the signature of millennial-scale climate variability in the tropical Andes, and to test whether it is consistent among northern and southern sites. We re-analyse a suite of eight pollen records that reveal vegetation changes at mid- to high elevations during last the 30 000 years BP (ka), with particular emphasis on the Pleistocene to Holocene transition. We compare all records on a common timescale, and explore how records expressed as percentage data and as downcore detrended correspondence analysis (DCA) time series can provide different information on environmental change. This study differs from previous studies that have focused on vegetation changes and their palaeoecological meaning. Here, we use the Andean vegetation as a marker for climatic change. We consider vegetation change as one of the internal responses of the climate system and integrate our observations with records that reveal the responses of the cryosphere and the ocean to millennial-scale climate variability in the American tropics.

2 Environmental setting: vegetation and climate

Vegetation in the north and central Andes is dominated by lower montane forest above 1000 m elevation and up to ca. 2300 m where there is absence of night frost. Upper montane forests are found where night frost may occur and extend up to the upper forest line (UFL). The UFL position, defined as the highest contour of continuous forest (Bakker et al., 2008), is instrumental in temperature reconstructions as it coincides with a ca. 9.5 °C mean annual temperature (Hooghiemstra, 1984). The UFL is found in the study area between 3200 and 3500 m elevation and depends, amongst other factors, on regional temperature, precipitation, ground-level cloudiness, and soil conditions. In the northern Andes of Colombia and Ecuador, relatively humid páramo (Luteyn and Churchill, 1999) is found between the UFL and the perennial snow at ca. 4800 m. Much drier puna vegetation occurs above the UFL in Perú and Bolivia. The Huancabamba Deflection (Weigend, 2002), a low-elevation part of the Andes between Ecuador and Perú, forms the transition between wet páramo and dry puna.

Topography is a key environmental variable in the tropical Andes (Graham, 2009). It determines air temperature change (Vuille and Bradley, 2000), precipitation variability and its

spatial distribution (Garreaud et al., 2009). Air temperature decreases with elevation, with modern empirically derived lapse rates of 5.5 °C per 1000 m (Bush et al., 2004). Air temperature in the tropical Andes can also be significantly reduced by cold-air advection funnelled through Andean valleys from the northern (Poveda et al., 2006) and southern (Garreaud, 2000) high latitudes. Cold fronts also affect precipitation regimes due to convective cloudiness (Poveda et al., 2006; Garreaud et al., 2009). In particular, Southern Hemisphere cold fronts have been linked to ca. 30 % of summertime precipitation in western Amazonia (Garreaud and Wallace, 1998).

With respect to precipitation distribution, spatial differences between the eastern and western Andean flanks are partly due to topography (Poveda et al., 2011). Moisture on the eastern flank is primarily sourced in the tropical Atlantic and Amazonia, while SST in the tropical Pacific modulates precipitation on the western flank (Vuille and Bradley, 2000). On the eastern flank, the Andean mountains form a barrier to moisture, and the altitudinal temperature decline forces that humidity to condense and form clouds (Poveda et al., 2006). In areas of the eastern flank where prevailing winds and topography are not favourable, cloud cover can be low and precipitation can be less than 1500 mm, forming relatively dry enclaves (Killeen et al., 2007). In contrast, moisture regimes on the western flank are linked to the westerly Chocó jet in the northern Andes (Poveda et al., 2006), and to upwelling and El Niño–Southern Oscillation (ENSO) in the central and southern Andes (Vuille et al., 2000). Such a difference in moisture drivers results in a large precipitation gradient from north to south, with some of the rainiest areas on earth found on the Pacific coast of Colombia, and deserts found along the Peruvian coast. Rain shadow effects govern rainfall in inter-Andean valleys (Poveda et al., 2006).

Several large-scale atmospheric and oceanic mechanisms modulate precipitation regimes in the tropical Andes (Fig. 1). The position of the ITCZ is primarily forced by trade wind convergence and Atlantic and Pacific SSTs, and is linked to continental rainfall and seasonality at sub-annual timescales (Garreaud et al., 2009; Poveda and Mesa, 1997). At inter-annual to millennial timescales, the latitudinal migration of the ITCZ seems to respond to multiple factors including insolation and the position of the thermal equator (Fu et al., 2001), high-latitude temperatures and land–sea ice extent (Chiang and Bitz, 2005), and high-latitude North Atlantic variability (Hughen et al., 1996). The ITCZ is in turn linked to the distribution of mesoscale convective systems in north-western South America, contributing an average of 70 % of annual precipitation in the region (Poveda et al., 2006).

The SASM (Fig. 1a) is linked to a large area of precipitation and convection that forms over most of Amazonia and subtropical Brazil during the austral summer (Garreaud et al., 2009). This low-pressure system delivers a large proportion of annual rainfall between December and February (Garreaud et al., 2009), and isotopic fingerprinting suggests that

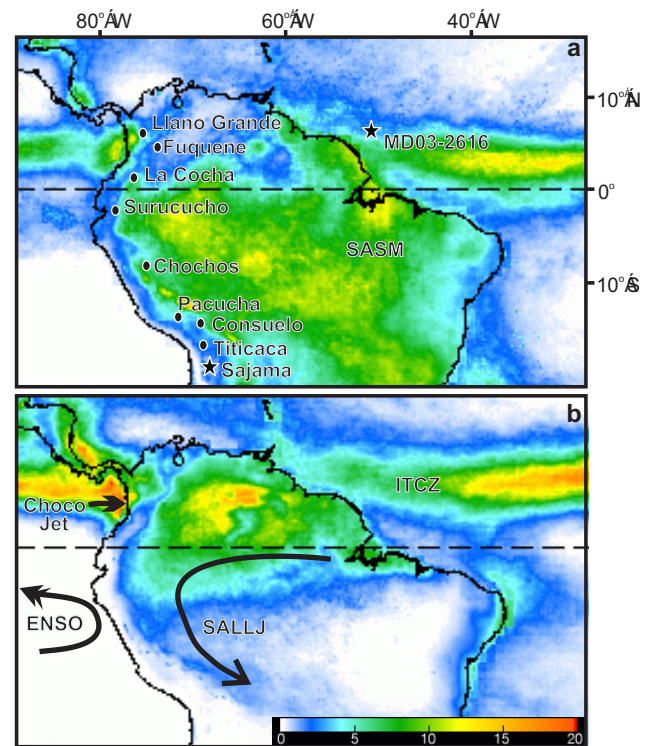


Figure 1. Average daily rainfall rates during the months of January (a) and July (b) from 1998 to 2007 from the Tropical Rainfall Measuring Mission. Black circles show the locations of pollen records described in Table 1. Stars indicate the location of the sea surface temperature record from the tropical Atlantic (MD03-2616) and the Sajama ice core. The rainfall distribution depicts the average southern (a) and northern (b) positions of the Intertropical Convergence Zone (ITCZ) and the South American summer monsoon (SASM). Arrows indicate the approximate location of relevant atmospheric and oceanic systems: South America low-level jet (SALLJ), El Niño–Southern Oscillation (ENSO), and the Chocó Jet.

the tropical Atlantic is its main moisture source (Vuille and Werner, 2005). This moisture is transported across Amazonia by easterly trade winds (Vuille et al., 2000) and is linked to the South American low-level jet (SALLJ) (Fig. 1b; Zhou and Lau, 1998). Variations in the position of the Atlantic ITCZ are suggested to play a role in modulating the strength of the SASM on interannual to decadal timescales (Zhou and Lau, 1998). SASM strength has also been linked to the mean state of the Pacific (Vuille and Werner, 2005), and interannual and long-term ENSO variability (Zhou and Lau, 1998).

ENSO (Fig. 1b) drives a large portion of the interannual precipitation variability in the tropical Andes, despite regional differences in timing, magnitude, and direction of change (Poveda et al., 2011). Warm ENSO events are associated with decreased rainfall and more prolonged dry seasons in the Colombian Andes (Poveda et al., 2006). Drought is also experienced in northeast Brazil during warm ENSO events, while southern Brazil and the Ecuadorian Pacific

coast experience increased rainfall (Zhou and Lau, 2001). Warm ENSO events are also associated with strengthening of the SALLJ along the eastern flank of the Andes, and enhancement of the SASM (Zhou and Lau, 2001).

3 Methods

We use eight pollen records from the tropical Andes to reconstruct environmental change at a regional scale over the past 30 000 years (Fig. 1, Table 1). This temporal focus is driven by the time span of available records. Selected sites form a north-to-south transect from 6° N to 16° S and lie at mid- (< 3000 m) and high elevations (> 3000 m) in the tropical Andes. For the purpose of this paper, sites are classified according to their latitudinal position into northern (latitude north), central (latitude < 10° S) and southern (latitude > 10° S) Andean sites (Table 1). The sites are located in inter-Andean valleys partly lying in the rain shadow, the eastern flank of the Andes facing the Amazon lowlands, and the Peruvian–Bolivian Altiplano (Table 1). This latitudinal transect provides a large environmental gradient and includes sites with various moisture sources. In the two northernmost Colombian sites, the Atlantic ITCZ and ENSO modulate moisture (Velásquez and Hooghiemstra, 2013; Bogotá et al., 2011). Further south, lakes La Cocha and Surucucho are located on the eastern flank of the Andes and receive most precipitation from Amazonian orographic rains (Colinvaux et al., 1997; González-Carranza et al., 2012). Lakes Chochos, Pacucha, and Consuelo lie on the eastern flank of the Andes, and Lake Titicaca on the Peruvian/Bolivian Altiplano. In Lake Chochos, precipitation is sourced from Amazonian convection and the SASM (Bush et al., 2005). The SASM is also the primary moisture source for lakes Pacucha, Consuelo, and Titicaca (Urrego et al., 2010; Valencia et al., 2010; Baker et al., 2001) (Table 1).

We selected pollen records where knowledge of regional vegetation was sufficient to allow a classification of pollen taxa into ecological groups. The selected records also met minimum requirements of stratigraphic consistency and chronology quality. We used records in which stratigraphic consistency allowed linear interpolations between radiocarbon-dated samples (Table 1). We also selected records that included on average five radiocarbon ages over a period of 10 000 years. Age models developed by the original authors were used, except for Llano Grande. For this record, we took the radiocarbon dates available in the original publication and generated a linear interpolated age model based on calibrated ages using Calib 7.1 and IntCal13 (Reimer et al., 2013). The temporal resolution of the records ranged from an average of ca. 26 years in La Cocha to 530 years in one of the sequences from Lake Titicaca (Table 1). Given the differences in temporal resolution among records, we only discuss major trends and refrain from drawing conclusions beyond the chronological constraints of each record.

Table 1. Site description and details on temporal resolution and time span for eight selected pollen records in the tropical Andes. Sites are listed in a latitudinal order from north to south.

Site	Coordinates	Elevation (m a.s.l.) ¹	Andean position	Main moisture source	Time span (ka)	Number of ¹⁴ C dates	Mean temporal resolution ±SD ²	Source	Latitudinal position
Llano Grande	06°29' N, 76°6' W	3650	Inter-Andean	Atlantic ITCZ, ENSO	14	6	99 ± 35.6	Velásquez et al. (2013)	Northern
Figueroa 2	05°27' N, 73°46' W	2540	Inter-Andean	Atlantic ITCZ, ENSO	36	10	433 ± 167	van der Hammen and Hooghiemstra (2003)	
La Cocha	01°06' N, 77°09' W	2780	Eastern flank	Amazonian convection	14	18	26.7 ± 16.6	González-Carranza et al. (2012)	
Surucucho	02°51' S, 79°08' W	3180	Eastern flank	Amazonian convection	21.9	9	318 ± 175	Colinvaux et al. (1997)	Central
Chochos	07°38' S, 77°28' W	3285	Eastern flank	Amazonian convection, SASM	17.5	9	270 ± 210	Bush et al. (2005)	
Pacucha	13°36' S, 73°19' W	3095	Eastern flank	SASM, SALLJ	24.9	18	198 ± 57	Valencia et al. (2010)	Southern
Consuelo	13°57' S, 68°59' W	1360	Eastern flank	SASM, SALLJ	43.5	26	365 ± 303	Urrego et al. (2010)	
Titicaca	16°20' S, 65°59' W	3810	Altiplano	SASM	19.7, 350	17, 18	113 ± 100, 530 ± 720	Paduano et al. (2003); Hanselman et al. (2011)	

¹ m a.s.l.: metres above sea level; ² SD: standard deviation

To assess the regional signature of millennial-scale climate events, our analysis benefits from comparisons with direct proxies of tropical Atlantic SST from MD03-2616 (7° N, Guiana Basin), and isotopic records from the Sajama ice cap (18° S) (Fig. 1a). We explore the degree of consistency between these independent markers and changes recorded by the Andean vegetation as far as the chronological uncertainties allow.

3.1 Protocol to extract environmental information from pollen records

Raw pollen counts were obtained from the original authors or from the Latin American pollen database (<http://www.ncdc.noaa.gov/paleo/lapd.html>). We calculated a pollen sum that included only terrestrial taxa and re-calculated pollen percentages of individual taxa based on that sum. The ecological grouping of terrestrial taxa was based on the ecological information published by the original authors. For sites where this information was unavailable, we followed the author's interpretations of the pollen record, ecological knowledge of the regional vegetation, and information from modern pollen calibrations (Reese and Liu, 2005; Urrego et al., 2011; Weng et al., 2004). We considered that ecological envelopes of Andean taxa at genus level may be wide, as more than one species may be reflected in one pollen taxon. We also took into account that the ecological affinity of a pollen taxon in a relatively dry inter-Andean valley may differ from that of the same taxon in a humid cloud forest. Our interpretations of fossil pollen spectra into past climate change included region-specific conditions. For example, presence of pollen of Cactaceae and *Dodonaea* reflected local rain shadow effects, rather than regional dry climates. Rare pollen taxa with unknown ecological affinity were excluded from this classification.

Ecological groups include puna (or páramo), subpuna (or subpáramo), Andean (upper montane) forest, sub-Andean (lower montane) forest, and taxa from tropical lowland vegetation. The puna (relatively dry) and páramo (relatively wet) groups include taxa from cold vegetation above the UFL (Bakker et al., 2008; Groot et al., 2011). These groups also include transitional taxa between the UFL and puna or páramo. The Andean and sub-Andean groups reflect high-elevation and mid-elevation forests found today between ca. 1200 and 3200–3500 m elevation. Finally, tropical lowland taxa reflected warm and moist forests below ca. 1200 m elevation.

The arboreal pollen percentage (AP %) groups the regional vegetation for each site. Interpretation of AP % is dependent on the altitudinal location of a given site relative to the modern UFL (Hooghiemstra and van der Hammen, 2004). For instance, in Lake Fúquene at 2540 m, AP % includes Andean and sub-Andean taxa. In Llano Grande at 3650 m, AP % only includes cold Andean taxa as pollen grains from sub-Andean forests hardly reach this high-elevation site. AP % is most

sensitive when sites are located between the highest interglacial and the lowest glacial UFL positions. We therefore anticipate a lower sensitivity of the records from Lake Consuelo (1360 m) and Lake Titicaca (3800 m) as a consequence of site location. Using the ecotone of the upper/lower montane forest is not feasible yet as this ecotone is palynologically insufficiently constrained (Hooghiemstra et al., 2012). Changes in AP % relate to altitudinal migrations of montane vegetation and the relative position of the UFL, an ecological boundary relatively well established in climatological terms (Körner, 2007; Hooghiemstra, 2012).

The terrestrial pollen sum excludes taxa of the aquatic and shoreline vegetation, such as Cyperaceae, *Isöetes*, *Myriophyllum*, and other taxa described by original authors as aquatic and wet shoreline elements. We have followed the shoreline vegetation zonation detailed by González-Carranza et al. (2012) when information on aquatic vegetation was unavailable. We establish an “aquatic pollen sum” that includes taxa grouped into shoreline, shallow-, and deep-water species, reflecting a gradient of water depth. The shoreline group includes taxa found in the wet and seasonally flooded shores (i.e. *Plantago*, *Rumex*, and *Typha*), shallow water taxa are found growing up to 1 m water depth (i.e. *Hydrocotyle* and *Ranunculus*), and deep water taxa include *Isöetes* ferns and other aquatic plants found up to 6 m water depth (González-Carranza et al., 2012). We calculate a ratio (D / SS) between taxa characteristic of deep water over taxa growing in shallow water and wet shores, and use it as an indicator of lake level changes and moisture availability. D / SS is based on the sum of aquatic taxa and is independent of AP %.

Two DCA analyses (McCune and Grace, 2002) were performed on untransformed terrestrial pollen percentages for each site. The first DCA was run on the entire pollen percentage matrices. A second DCA was run on reduced pollen percentage matrices after applying a filter that aimed to eliminate noise caused by rare pollen taxa (Birks and Birks, 1980). This filter retained taxa with at least 1 % abundance and that were found in at least five samples per record. Taxa that met only the latter requirement, but had abundances below 1 %, were retained, as such taxa likely reflected low pollen producers. Iterations were run until a stable solution was reached for all ordinations. To make DCA scores comparable between records, axis scores were standardized by calculating z scores based on the mean and standard deviation for each record. Rates of ecological changes (RoC) were calculated as the dissimilarity distance between two consecutive pollen time slices divided by the time interval in between (Urrego et al., 2009). Euclidean, Sorensen, and Bray–Curtis dissimilarity distances (McCune and Grace, 2002) were calculated based on raw pollen percentages. The DCA axis scores for the first four axes were also used to calculate RoC using a Euclidean distance. RoC calculated using raw percentages were compared with RoC based on DCA axis scores to evaluate the influence of DCA variance reduction.

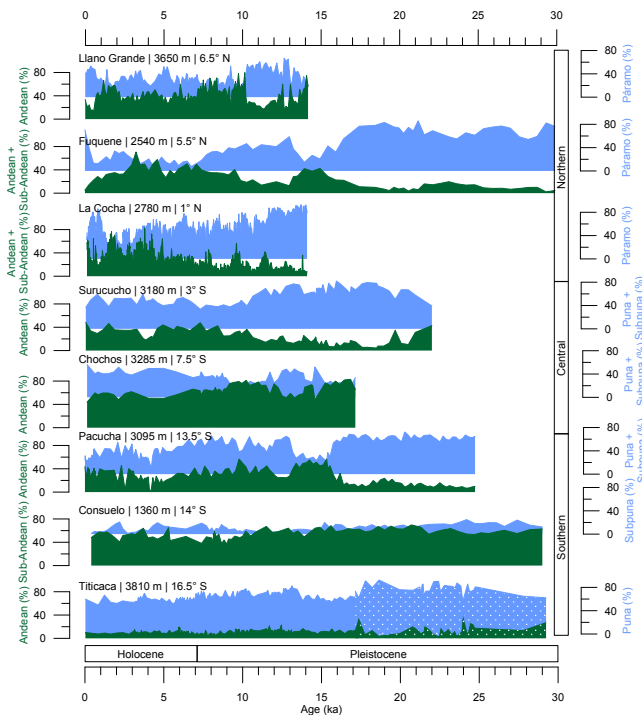


Figure 2. Summary pollen diagrams of selected pollen records from the tropical Andes (Fig. 1, Table 1) plotted in latitudinal order against time in thousands of years (ka). Site name, elevation rounded up to the nearest 5 m, and latitude to the nearest half degree are shown next to each record. Pollen taxa are grouped into Andean and sub-Andean taxa (green) and páramo, puna, or subpuna taxa (blue). Taxa groupings follow original papers when available. For sites published without ecological groups, taxa have been grouped for the first time. Two pollen records are available from Lake Titicaca, and here they are differentiated with a dotted pattern for the Hanselman et al. (2011) record and a solid pattern for the Paduano et al. (2003) record.

4 Results and interpretation

The proportions of sub-Andean (lower montane) and Andean (upper montane) forest taxa vs. vegetation located above the UFL (puna and páramo) show temporal variations that appear synchronous among some sites (Fig. 2). The comparison of AP % vs. DCA1 z scores demonstrates similar trends in three of the eight pollen records analysed (Fig. 3). In the remaining five records, AP % and DCA1 z scores trends differ in at least part of the record. The record of D / SS potentially reflects lake level changes and moisture availability that appear to be registered at most studied sites (Fig. 4). In the following section we describe results from our re-analysis of each pollen record.

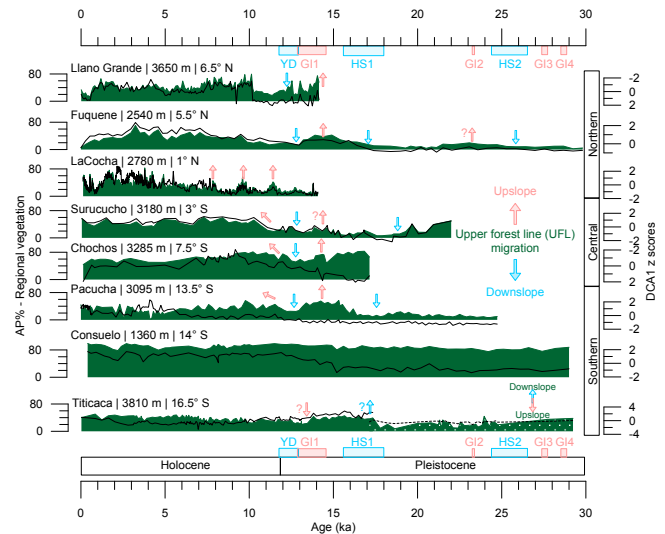


Figure 3. Temporal changes in regional vegetation AP % (green polygons) and DCA1 z scores (black line) plotted on a linear timescale for selected pollen records from the tropical Andes (Fig. 1, Table 1). Site name, elevation rounded up to the nearest 5 m, and latitude to the nearest half degree are shown next to each record. Two pollen records are available from Lake Titicaca, and here they are differentiated with a dotted pattern for the Hanselman et al. (2011) record and a solid pattern for the Paduano et al. (2003) record. Heinrich stadials (HS) are drawn for reference as defined by Sánchez-Goni and Harrison (2010). The Younger Dryas (YD) follows the timing of Greenland stadial 1 (Rasmussen et al., 2006) and the chronozone defined by Mangerud et al. (1974). The timing of Greenland interstadials (GI) is based on Wolff et al. (2010).

4.1 Llano Grande (Velásquez and Hooghiemstra, 2013)

The Llano Grande site is located near the current position of the UFL at 3650 m elevation. Changes in AP % at this elevation are expected to be sensitive to changes in the composition of the Andean forests found downslope today. The trends of DCA1 z scores (reversed) and AP % are remarkably similar (Fig. 3), suggesting that temperature, the driver of changes in AP %, is also the strongest driver of DCA1. The abundance of Andean taxa (AP %) shows a trough between ca. 12.5 and 10.5 ka (Figs. 2 and 3). Several AP % oscillations are observed during the Holocene. D / SS shows a peak after the onset of the pollen record at ca. 14.5 ka, and two increases of lesser magnitude during the Holocene (Fig. 4). The onset of the pollen record and the largest D / SS peak are probably linked to the formation of the lake. D / SS increases occur between ca. 6 and 5 ka, and between ca. 4.5 and 2.5 ka.

4.2 Lake Fúquene (van der Hammen and Hooghiemstra, 2003)

The Fúquene2 record comes from an intra-Andean valley at 2540 m elevation, a position centrally located in the current altitudinal range of Andean forests. The location of Lake

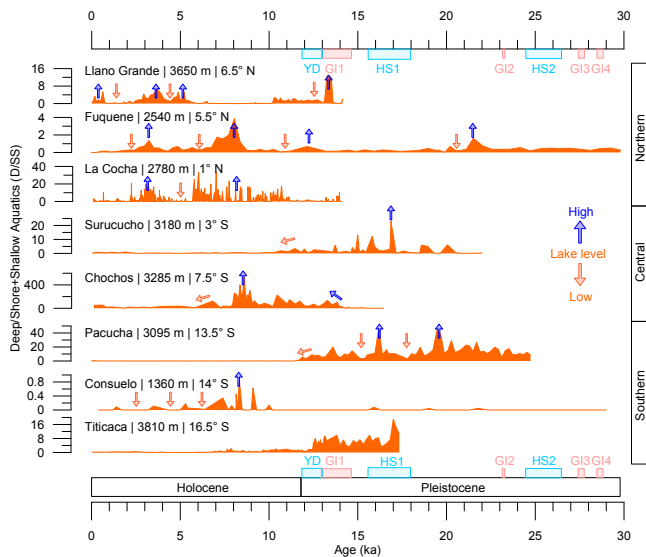


Figure 4. Temporal changes in the ratio of aquatic taxa characteristic of deep water to taxa from shallow water and wet shores (D/SS) for selected sites in the tropical Andes (Fig. 1, Table 1). Site name, elevation rounded up to the nearest 5 m, and latitude to the nearest half degree are shown next to each record. Heinrich stadials (HS) are drawn for reference as defined by Sánchez-Goni and Harrison (2010). The Younger Dryas (YD) follows the timing of Greenland stadial 1 (Rasmussen et al., 2006) and the chronozone defined by Mangerud et al. (1974). The timing of Greenland interstadials (GI) is based on Wolff et al. (2010).

Fúquene makes this record highly sensitive to temperature-driven migrations of montane taxa. During glacial times this area was covered by cold páramo vegetation, and during interglacials sub-Andean forest taxa reached up to ca. 2300 m (Groot et al., 2011). The short distance between sub-Andean forest and the lake explains pollen from sub-Andean taxa also being represented in AP% (Fig. 2).

Páramo taxa show high percentages between ca. 30 and 17.5 ka, but also vary at several intervals (Fig. 2). Andean and sub-Andean taxa (AP%) show an overall increase starting around 15.6 ka, with a trough between ca. 13 and 11 ka, and showing some fluctuations during the Holocene. DCA1 z scores follow remarkably well the variability of AP% (Fig. 3), indicating that this ordination axis is probably tracking temperature-driven UFL migrations. Pleistocene downslope migrations of the UFL can be inferred from AP% decreases around 26, 17 and 13 ka (Fig. 3). AP% increases and upslope UFL migrations are observed at ca. 23.3 and 14 ka. D/SS also shows variations that suggest increases in lake levels after at ca. 22, 12, 8, and 3 ka (Fig. 4).

4.3 Lake La Cocha (González-Carranza et al., 2012)

Lake La Cocha sits in a valley at 2780 m elevation on the eastern flank of the Andes. Amazonian moisture causes

abundant orographic rains at this site. Centrally located in the current altitudinal range of the Andean forest (2300 to 3650 m elevation), the AP% record also includes taxa from the sub-Andean forest (Fig. 2). During the deglaciation, the UFL was below the elevation of the valley and páramo vegetation surrounded the lake. AP% reflects temperature changes in this record, although inverse hydrological modelling suggests that Holocene vegetation changes at this site are driven by both increased temperature and moisture (Van Boxel et al., 2014).

Andean and sub-Andean taxa (AP%) in this record increase consistently, while páramo taxa decrease at the Pleistocene–Holocene transition (Fig. 2). Short but clear increases in AP% are detected around 11.5, 9.5, and 8 ka. The trend of DCA1 z scores closely follows AP% (Fig. 3). AP% variability increases during the Holocene and displays a shift around 6 ka. Two increases in D/SS suggest lake level increases between ca. 11 and 6 ka, and between ca. 4 and 2 ka, interrupted by a decreased lake stand centred ca. 5 ka (Fig. 4).

4.4 Lake Surucucho (Colinvaux et al., 1997)

Lake Surucucho is located at 3180 m elevation. Sub-Andean forests reach up to 2800 m in this part of the Andes, while the subpáramo is found at 3500 m elevation. The Andean forest thus covers a vertical range of approximately 700 m. AP% values include Andean taxa at this site and reflect UFL shifts.

Puna and subpuna taxa dominate the pollen record during the late Pleistocene (Fig. 2). Andean forest taxa increase gradually from ca. 12 ka and remain relatively abundant during the Holocene, despite the persistent abundance of puna and subpuna taxa. DCA1 z scores and AP% follow a similar trend, indicating that temperature is their common driver (Fig. 3). AP% decreases before 18 ka and increases again around 14.5 ka. At ca. 11.3 ka we observe a 2-fold increase in AP% and a shift in DCA1 z scores. D/SS is relatively high during the late Pleistocene with a peak at ca. 17 ka. D/SS decreases after ca. 11 ka and is low throughout the Holocene (Fig. 4).

4.5 Lake Chochos (Bush et al., 2005), Neotoma Site ID 10194

Lake Chochos is located at 3285 m elevation and sits on the eastern flank of the Andes. The record is centrally located in the altitudinal range of UFL glacial–interglacial migrations. AP% includes Andean taxa and is expected to reflect temperature-driven UFL shifts at this site.

Percentages of Andean forest taxa (AP%) are high at the end of the Pleistocene and gradually decrease between ca. 17 and 12 ka (Fig. 2). Andean taxa show some fluctuations during this interval, while puna and subpuna taxa increase. Between ca. 12 and 10 ka, Andean taxa dominate the record again and puna and subpuna taxa show relatively low proportions. AP% and DCA1 z scores show different trends,

suggesting that different drivers affect these records (Fig. 3). D/SS is high between ca. 14 and 6 ka, with the highest peak centred at ca. 8.2 ka (Fig. 4).

4.6 Lake Pacucha (Valencia et al., 2010), Neotoma Site ID 10130

Lake Pacucha is located at 3095 m elevation in the Peruvian Andes. The vegetation around the lake is strongly influenced by small-scale topography with mesic forests on the windward slopes and xeric forests in the rain shadow areas. The natural UFL lies between 3300 and 3600 m, where vegetation changes into shrublands of 100 to 200 m vertical extension. Upslope, this shrubby vegetation transitions into herbaceous puna up to 4300–4500 m. As the site is located ca. 300 m below the UFL, AP % changes are expected to be very sensitive to temperature-driven altitudinal shifts of the UFL. AP % at Lake Pacucha includes Andean taxa.

Puna and subpuna taxa dominate until ca. 15.6 ka. Andean forest taxa then show a 3-fold increase and exceed puna and subpuna taxa proportions by at least 10 %, displaying a peak centred around 15 ka (Fig. 2). Puna and subpuna taxa increase again at ca. 13 ka, while the percentages of Andean forest taxa decrease approximately 2-fold. Andean forest taxa percentages recover after ca. 11.5 ka. During the Holocene, both Andean forest and puna taxa vary and appear to follow the same trend. AP % varies independently from DCA1 z scores, indicating little correlation between the two markers (Fig. 3). D/SS is high and shows several fluctuations until ca. 11.9 ka, with minima around 18 and 16.5 ka.

4.7 Lake Consuelo (Urrego et al., 2010) Neotoma Site ID 11877.

Lake Consuelo is located at 1360 m on the eastern flank of the Andes. Amazonian moisture causes significant orographic rains at this site, covering the lake in semi-permanent ground-level clouds. The lake is located in the lower part of the current altitudinal range of sub-Andean forest, and as a consequence the AP % record is mainly composed of sub-Andean taxa. Lowland taxa were grouped for Lake Consuelo, but showed less variation than sub-Andean taxa. The vertical distance from Lake Consuelo to the UFL is large, and even during glacial times the lake remained surrounded by cool Andean forests. Changes in AP % are expected to reflect temperature-driven shifts of sub-Andean forests.

Sub-Andean forest taxa dominate the record and reach up to 80 % (Fig. 2). Despite its mid-elevation location, the record shows over 30 % of the subpuna vegetation during the Pleistocene. The trends of DCA1 z scores and AP % are similar, but the signals seem more consistent during the Holocene (Fig. 3). D/SS is low between ca. 30 and 10 ka, and shows a series of early-Holocene peaks centred around 8 ka (Fig. 4). During the mid- and late Holocene, D/SS is primarily low with three observable troughs.

4.8 Lake Titicaca (Paduano et al., 2003; Hanselman et al., 2011)

Lake Titicaca is located at 3810 m elevation, making it the highest site in our transect study. Today the lake is surrounded by puna vegetation, and Andean forests occur below 3200 m. Glaciers must have reached the lake basin during glacial times, and vegetation comparable to the modern puna brava (4500–5300 m) probably surrounded the lake. AP % includes Andean taxa and is expected to reflect altitudinal shifts of the UFL. However, the significant distance between the UFL and the lake (between ca. 600 and 1500 m) potentially causes two sources of bias in the AP % values: (1) registered changes in AP % may not be sensitive to minor changes in UFL position and (2) AP % increases may be the result of upslope aeolian pollen transport (Jansen et al., 2013).

Two pollen records are available from Lake Titicaca, and puna taxa dominate the pollen spectra in both (Fig. 2). Andean forest taxa account for less than 10 % of the pollen sum and reflect the downslope location of the UFL. Puna taxa fluctuate during the Pleistocene and decrease between ca. 17 and 13 ka. DCA1 z scores and AP % fluctuate differently during the Pleistocene, but are consistent during the Holocene (Fig. 3). The core from the centre of the lake did not record aquatic vegetation, and D/SS could only be calculated for the record collected closer to the shore. However, given the lake's size and depth, the abundance of shoreline and shallow aquatics is still very low. D/SS is mostly driven by deep-water indicators and is therefore not comparable with the other records (Fig. 4).

5 Discussion

5.1 Extracting climatic information from pollen records

Our comparison of AP % and DCA1 z scores to extract climate change information from pollen records allows us to highlight differences between the two approaches. On the one hand, ordination analyses like DCA attempt to find the clearest relationships within the pollen data set, both between pollen taxa and between time slices. The strongest source of variability in one data set may be precipitation, while it may be temperature in another. As a result, ordination scores are not always comparable between sites even after standardization. Relationships between pollen taxa may be due to ecological affinities, and in this sense, this step of the ordination analysis is somewhat equivalent to the taxa grouping done for AP %. However, ordination analyses do not involve a priori information (i.e. ecological knowledge) and are only driven by the main sources of variability within the pollen data set. This is why ordination analyses have been argued to have an advantage over AP %: because each pollen taxon is free to be correlated with any other taxon (Urrego et al., 2005; Colinvaux et al., 1996; Bush et al., 2004). A taxon that today would

be grouped as Andean is free to have more affinity with lowland taxa in the past. It is difficult to allow for this flexibility with AP %, which uses modern ecology to group fossil taxa. On the other hand, ordination analyses produce results that require ecological knowledge for interpretation. The ordination results consist of axis scores for pollen taxa and for time slices that are non-dimensional, lack direction, and can be rotated as desired (Hill and Gauch, 1980). Additionally, information extracted from the ordination axes can only be used in relative terms. As a result, a posteriori ecological knowledge of the taxa with the highest loadings is necessary to interpret the main sources of variability within the pollen data set. Ordination-based interpretation of pollen records may be more appropriate for non-analogue species re-assortments, but still requires knowledge on modern species affinities to extract climate or environmental change information from ordination results.

Using a priori ecological knowledge to calculate AP % has been criticized due to potential subjectivity involved in the classification of pollen taxa (Colinvaux et al., 1997). This potential subjectivity relates to the fact that boundaries between vegetation formations are rarely clear-cut; hence ecological grouping of transitional or wide-ranging taxa is left to the palynologist's discretion. AP % has also been criticized because of the underlying assumption that species respond to change as an assemblage rather than individualistically (Urrego et al., 2010). The record from Lake La Cocha reveals individualistic changes in pollen abundance (González-Carranza et al., 2012), as well as clear variations in AP % that may respond to shifting Andean and sub-Andean associations. The record of Lake La Cocha is therefore a good example of how ecological grouping associated with AP % allows for individualist migrations within groups.

The main advantage AP % has over ordination scores is that AP % gives a direction to the observed change from the start. AP % can be translated into temperature-driven UFL migrations (Hooghiemstra et al., 2012) and is comparable between sites. Such site-to-site comparisons of ordination scores are not possible with DCA results because the main source of variability may differ from one site to the other. AP % is also particularly sensitive in high to mid-elevation sites. For instance, in Fúquene and Pacucha, AP % is relatively high during the Holocene compared to the Pleistocene (Fig. 3), indicating the signal of post-glacial warming. The sensitivity of AP % can be low, however, where forest composition remains within one ecological group. In Lake Conuelo AP % remains high during the last glacial and interglacial periods, indicating that the area had a relatively stable forest cover. Despite these differences in site sensitivity, AP % changes remain comparable between sites.

We also calculated RoC (Urrego et al., 2009) to explore its sensitivity to environmental change. RoC values appear to be sensitive to changes in sedimentation rate, while showing little difference when calculated based on DCA results vs. raw pollen percentages. As an example of RoC sensitiv-

ity to sedimentation rates we show RoC calculated for the La Cocha record (Supplement Fig. S1). We refrain from using RoC in this paper as age uncertainties may be inflated when pollen records of varying quality are compared. One way to circumvent RoC dependency on age and sedimentation uncertainties is to preserve the ecological dissimilarity distances calculated between pollen assemblages as a measure of pollen taxa turnover (for an example see Urrego et al., 2013).

Another climate change indicator calculated here from pollen records is the D / SS ratio. Assuming that the lakes have minimal losses by underground leaks or outflow, D / SS values potentially indicate lake level changes due to fluctuations in precipitation and evaporation. Increases in D / SS are associated with high abundance of deep-aquatic taxa and likely indicate high lake-level stands. Low D / SS indicates relatively high abundance of aquatic vegetation from shallow waters and reduced water bodies. A potential bias for D / SS is that some taxa included in the "aquatic pollen sum" have different growth forms. For instance, *Isöetes* is an aquatic fern growing up to 6 m water depth in lakes and is indicative of relatively deep-water conditions. However, in fluvial and fluvio-lacustrine environments, *Isöetes* species may also occur on sand banks (Torres et al., 2005). The D / SS ratio is based on relative abundances and is calculated in the same way for all sites. Therefore, calculating D / SS makes differences in pollen/spore production a systematic bias, and allows comparisons among sites and samples within one record. Additionally, the sensitivity of D / SS may depend on water depth. In Lake Titicaca, for instance, where water depth is more than 200 m, the D / SS ratio is uninformative because no aquatic taxa are recorded at this depth (Fig. 4).

5.2 Temperature and moisture availability during the Pleistocene to Holocene transition in the tropical Andes

The eight pollen sequences from the tropical Andes consistently record Pleistocene altitudinal migrations of Andean and sub-Andean forests linked to glacial cooling. Páramo and subpáramo vegetation, or puna and subpuna, characterize the Pleistocene, while the Holocene is characterized by sub-Andean and Andean forests (Fig. 2). Such forest migrations and inferred temperature change are consistent with other pollen records from the region (e.g. Hansen et al., 2003), tropical air temperatures changes derived from Andean ice core isotopic signals (Thompson, 2005), dating of Andean moraines (Smith et al., 2008; van der Hammen et al., 1980/1981), high-elevation Andean lake $\delta^{18}\text{O}$ records (Baker et al., 2001; Seltzer et al., 2000), and $\delta^{18}\text{O}$ from Andean speleothems (Cheng et al., 2013). SST reconstructions from the western tropical Atlantic similarly document large fluctuations between the late Pleistocene and Holocene (Rühlemann et al., 1999), but their magnitude appears to be less

than air temperature changes recorded by the vegetation and other terrestrial markers.

The pollen records show an overall warming trend during the Pleistocene–Holocene transition, but the onset of post-glacial warming differs in timing among records. Taking the Fúquene record as an example for the northern Andean sites, the first post-glacial warming, as recorded by upslope UFL migrations, occurred around 15.6 ka (Fig. 3) but is interrupted by a cooling period between ca. 13 and 11 ka. In Lake Surucucho, the record of Andean forest taxa suggests a steady increase in air temperatures starting around 13 ka. On the other hand, the record of Lake Pacucha in the southern Andes shows a trend towards warming starting around 15.6 ka, with a relatively short-lived cooling between ca. 13 and 11.5 ka, followed by another warming. These differences in the onset of post-glacial warming in the Andes are consistent with reconstructions of snow line depressions starting ca. 21 ka in the Peruvian Andes (Smith et al., 2005), the onset of SST warming in the tropical Atlantic ca. 17 ka (Rühlemann et al., 1999), and shifts in stable oxygen isotopes from the Sajama ice cap at ca. 15.5 ka (Thompson et al., 1998).

Changes in D/SS in the selected sites suggest that Pleistocene moisture availability differed from that of the Holocene. D/SS in northern Andean sites (i.e. Llano Grande, Fúquene, and La Cocha) may indicate increasing lake levels during the Pleistocene–Holocene transition (Fig. 4). Another increase in lake levels is recorded at Fúquene and La Cocha around 8 ka, but not in Llano Grande. Central and southern sites (i.e. Surucucho, Pacucha, Titicaca, and the onset of the pollen record in Lake Chochos) indicate large water bodies and probably high moisture availability through the Pleistocene–Holocene transition and up to 8 ka. D/SS in Lake Consuelo follows a different trend to that observed in other central and southern Andean sites during the late Pleistocene. These differences may be due to the buffering effect of semi-permanent ground-level cloud cover during the last glacial (Urrego et al., 2010). D/SS in lakes Consuelo and Chochos suggests high lake-level stands between ca. 10 and 6 ka and peaking around 8 ka (Fig. 4), which are analogous to D/SS increases observed in northern Andean sites. Moisture in northern Andean sites is mostly linked to the ITCZ, while southern sites are mostly influenced by precipitation from the SASM (Table 1). Overall, these data suggest a north–south difference in lake levels and moisture availability during the Pleistocene–Holocene transition that are consistent with glacial–interglacial atmospheric reorganizations of the ITCZ (Haug et al., 2001) and the SASM (Cruz et al., 2006).

5.3 The signature of millennial-scale climate changes in the tropical Andes

The signature of millennial-scale climate variability is discernible in most pollen records used for our analysis (Fig. 3). AP% decreases in Fúquene, Surucucho, and Pacucha ap-

proximately coincide with the timing of HS1 (18–15.6 ka; Sánchez Goñi and Harrison, 2010). In Lake Titicaca AP% increases during HS1, but the direction of this change is comparable with the change in other records because of the altitudinal location of the site, i.e. above treeline. HS2 (26.5–24.3 ka; Sánchez Goñi and Harrison, 2010) is also insinuated in the low-resolution record from Fúquene by a slight decrease in AP%. In Llano Grande, two AP% decreases observed during the Pleistocene–Holocene transition are roughly consistent with the timing of the Younger Dryas (YD, 12.9–11.6 ka; Rasmussen et al., 2006; Mangerud et al., 1974). Decreases in AP% during the YD are also apparent in Fúquene, Surucucho, Chochos, and Pacucha. Increased fire activity and drought have also been linked to vegetation changes observed in Pacucha during YD (Valencia et al., 2010). Overall, the AP% fluctuations observed during North Atlantic millennial-scale cooling events are best explained by downslope migrations of Andean vegetation and the UFL linked to air temperature cooling in the tropical Andes.

The AP% records from Lake La Cocha and Consuelo appear to be less sensitive to millennial-scale air temperature cooling recorded in the other sites. In Consuelo in particular the signature of post-glacial warming is marked in the DCA1 z scores but not in AP% (Fig. 3). DCA1 z cores in Consuelo only show a few millennial-scale variations that seem unrelated to North Atlantic cooling events. AP% in Consuelo remains largely unchanged and indicates continuous Andean and sub-Andean forest cover at this site throughout the record (Urrego et al., 2010). The low sensitivity of AP% in Consuelo may also be related to the distance between the site and the UFL as well as the buffering effect of ground-level cloud cover. In La Cocha, UFL sits closer to the site but millennial-scale and centennial-scale climate variability seem to be superimposed in the record (González-Carranza et al., 2012). La Cocha is also a site constantly influenced by ground-level cloud, which may buffer the effect of air temperature cooling on the vegetation.

The signature of GI warming events is best shown for GI1, while the signals of GI2, GI3, and GI4 are hardly recorded (Fig. 3). GI1 (14.6–12.7 ka; Wolff et al., 2010) is suggested by AP% increases in Llano Grande, Fúquene, Surucucho, Chochos, and Pacucha. These AP% increases seem more conspicuous and of longer duration in Fúquene and Pacucha. Shifts in DCA1 z scores are also apparent around the onset of GI1 in Chochos and Consuelo. In the record from Lake Titicaca, the signal of GI1 is either weak or not captured due to the elevation of the site. The onset of the records from Llano Grande and La Cocha probably indicates the formation of these two lakes during GI1 and may be due to increased regional moisture and/or glacial retreats. Differences between the signal of GI1 and other Greenland interstadials may be related to the relatively long duration of GI1 compared with other GI. Lasting 1900 years (Wolff et al., 2010), GI1 is more likely to be captured in records with the resolution available for this regional comparison (Table 1). Overall, GI1 poten-

tially coincides with upslope UFL migration and regional warming in the tropical Andes, as well as the formation of some Andean lakes.

One important question is whether the signature of millennial-scale climate variability is consistent in the northern and southern tropical Andes (Fig. 3). The signature of HS and YD are generally recorded as downslope UFL migrations and air temperature cooling in our transect. Based on the longer records, we also observe a temporal consistency between the signals of different HS. HS1 and HS2 are both linked to AP % decreases and cooling in Fúquene, although the magnitude of change differs. The GI1 signal is overall comparable between northern and southern records and indicates upslope UFL migrations. These trends are spatially consistent between northern and southern sites, and imply a common forcing. Air temperature cooling during HS and YD could potentially be linked to cold advection reaching as far as 13.5° S (Pacucha) or 16.5° S if we take the record from Titicaca into account. Cold advection both from the Northern and Southern Hemisphere are common in the tropical Andes and can produce freezing conditions down to 2500 m elevation (Gan and Rao, 1994). The air temperature cooling recorded by the Andean vegetation during YD and HS1 could hence be explained by increased intensity or frequency of Northern Hemisphere cold advection. On the other hand, upslope UFL migrations and air temperature warming during GI1 could be related to reduced intensity or frequency of Northern Hemisphere cold advection.

To address the consistency of air temperature change recorded by the Andean vegetation with changes recorded by the ocean and the cryosphere, we compare the pollen records from Fúquene and Pacucha with SST reconstructions from the Guyana Basin and the isotopic record from the Sajama ice cap (Fig. 5). Fúquene and Pacucha are used for this comparison as a northern and a southern Andean site, respectively. We also plot the NGRIP and EPICA isotope records in an attempt to assess the relative importance of Northern Hemisphere versus Southern Hemisphere forcing. Air temperature fluctuations recorded by the Andean vegetation both in the northern and southern Andes are consistent with changes in tropical Atlantic SST (Rama-Corredor et al., 2015) and the isotopic record from the Sajama ice cap (Thompson et al., 1998), especially during HS1, GI1, and YD (Fig. 5). When compared with the long-term variability within each record, the amplitude of change recorded by the Andean vegetation during GI1 and YD seems comparable to that of the Sajama ice core record. The vegetation records and the isotopic signal of Sajama are comparable despite differences in moisture sources, reiterating that together these changes are best explained by fluctuations in air temperature. The SST record from the tropical Atlantic suggests reduced amplitude of temperature changes during the same period compared to the Andean vegetation and the ice core record. This comparison suggests a potential difference between the magnitude of temperature change in the ocean and the atmosphere

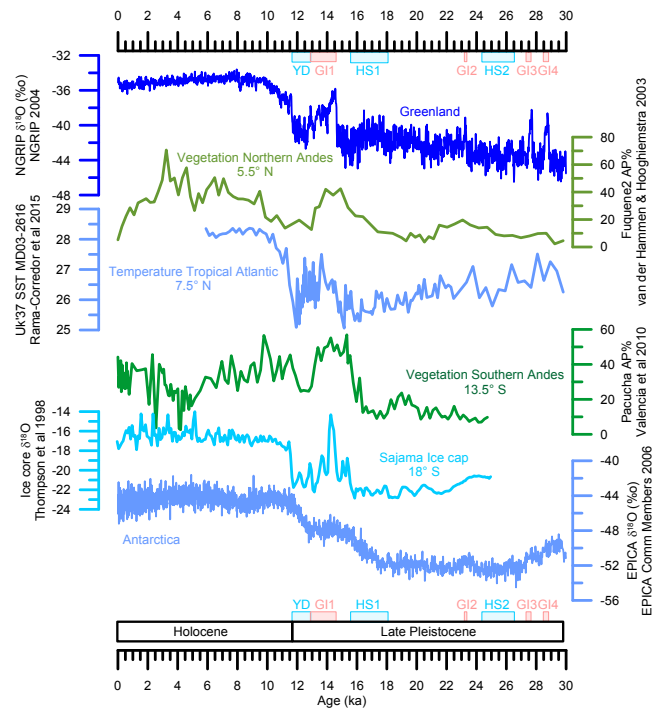


Figure 5. Millennial-scale vegetation changes in the northern and southern tropical Andes over the past 30 ka compared with other records: north Greenland (NGRIP Members, 2004), EPICA Dome C (EPICA, 2006), and Sajama ice core record (Thompson et al., 1998), as well as sea surface temperatures (SST) from the Guyana Basin in the tropical Atlantic (Rama-Corredor et al., 2015). Heinrich stadials (HS) are drawn for reference as defined by Sánchez-Goñi and Harrison (2010). The Younger Dryas (YD) follows the timing of Greenland stadial 1 (Rasmussen et al., 2006) and the chronozone defined by Mangerud et al. (1974). The timing of Greenland interstadials (GI) is based on Wolff et al. (2010).

that could relate to the thermal inertia of the ocean. Additionally, the climatic trends observed in the Andean records are comparable to the Greenland ice core record (NGRIP Members, 2004) and show fewer similarities with Antarctica (EPICA, 2006). The observed similarities point to Northern Hemisphere climate variability forcing UFL migrations and air temperature cooling in the northern and southern tropical Andes during HS1 and YD.

Millennial-scale vegetation changes in the tropical Andes show great variability, and they appear to be asynchronous to those of tropical Atlantic SST and the isotopic signal of Andean ice core records (Fig. 5). Vascular plant biomarkers preserved in the Cariaco Basin have suggested that tropical vegetation lagged climate change by several decades (Hughen et al., 2004). A similar time lag between the response of vegetation and marine markers in northeastern South America is estimated to be 1000 to 2000 years during HS (Jennerjahn et al., 2004). Our explorations with regard to the asynchronicity of these signals remain within the constraints of available dating and sampling resolution. However, our results suggest

that vegetation responses to millennial-scale climate variability are overall very rapid.

6 Conclusions

Records of past vegetation change in the tropical Andes showed that altitudinal migrations of the vegetation are best explained by millennial-scale cooling and warming of air temperatures linked to Northern Hemisphere forcing. Taking into account differences in the sensitivity of individual sites, the signature of HS is overall consistent among northern and southern Andean records and indicates downslope shifts of the UFL and cooling. The air temperature cooling needed to produce such migrations could potentially have resulted from increased intensity and duration of cold advection from the Northern Hemisphere. GII potentially coincides with upslope UFL migration and regional warming in the tropical Andes, as well as the formation of some Andean lakes. The air temperature change recorded by the Andean vegetation was consistent with millennial-scale cryosphere and ocean temperature changes, but suggests a potential difference between the magnitude of temperature change in the ocean and the atmosphere. Our analysis also suggests a north–south difference in the moisture availability during the Pleistocene–Holocene transition that can potentially be related to reorganizations of the ITCZ and the SASM.

We showed that AP% and DCA scores, two approaches to extract environmental variability from pollen records, are complementary rather than divergent. Transforming raw pollen counts into percentages of ecologically meaningful groups (e.g. AP%) or into ordination values results in records that are seldom driven by similar factors. The two approaches rely on a reasonable understanding of ecological affinities and knowledge of the regional vegetation. This information is used a priori for AP% and a posteriori for ordination scores. AP% and DCA axis scores are both vegetation markers and are not independent records of environmental change. Such records are still needed for most of the studied sequences. Along with the development of pollen records, independent markers of temperature or precipitation (i.e. biochemical or isotopic markers) are needed in the American tropics (Urrego et al., 2014), and future work should preferably generate combinations of proxies to disentangle differences between the magnitude of atmospheric and oceanic change. Integrated multi-tracer approaches will help minimize chronological uncertainty and may shed light on the underlying forcing of these rapid shifts in the climate system.

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